

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Zapata Audit/Visit Date: 060415 Attempt date(s): 041415

Sample Files Pulled: Office not at location per Bail Bond Board files 1911 S Bryant Ste 1 - Audit accomplished at 16 W Beauregard. Note no signage on outside of building nor in the internal office directory. Once upstairs a receptionist directs you to Zapata Bail Bond's Office - Sign on this door.

B-14-0676-SA \$7500 **; SO#85861 \$1500 **; A-15-0257-SB \$23,000; B-14-0911-SA \$7500; 14-01172L 1,000; C-13-0773-SB \$40,000

Conducted by: 1st Attempt Spieker/Pearce 2Nd Spieker/Baity Talked to: Mr. Zapata

- | Yes | No | <u>A license holder shall maintain: 1704.202</u> |
|-------------------------------------|--------------------------|---|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

Each bail bond file must contain: 1704.202

- | | | |
|-------------------------------------|--------------------------|---|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> If no please do so |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

Bond Receipts: 1704.305

- | | | |
|-------------------------------------|-------------------------------------|--|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value? _____</u> |

Statement of: 1704.202

- | | | |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

Bond Receipts for security must state: 1704.305

- | | | |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- | | | | |
|-------------------------------------|---------------|--------------------------|--|
| <input checked="" type="checkbox"/> | In compliance | <input type="checkbox"/> | Not in compliance; Deadline to be in Compliance: _____ |
|-------------------------------------|---------------|--------------------------|--|

Comments: 1st UNABLE TO AUDIT - MAILED THIS TO LAST KNOWN ADDRESS

2nd ** These files had all three copies of receipt; copy not given, will give upon request or next visit. Recommendation: Include an official document from TGC in all files that have both the Cause# and bond amount. Note files missing this document Mr. Zapata printed from Tom Green County Jail Records and placed in the file.

Dianna Spieker