

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Freedom Audit/Visit Date: 060415 Attempt date(s): 041415; 052015

Sample Files Pulled: C#F14-187J2 \$100,000; M-15-0151[F15-041J1] \$31,500; A-13-1091-SB \$7,500; 14-02612L2 \$1,000; SO#91790 Nobilled \$30,000; F15-040J1 \$8,500; A-15-0178-SA \$10,000

Conducted by: Spieker/Pearce - Spieker/Baity Talked to: 1st Office Secretary - Insurance side 2nd time Office Closed 3rd Time Mrs. Villereal and Mr. Villereal

- | Yes | No | <u>A license holder shall maintain: 1704.202</u> |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

Each bail bond file must contain: 1704.202

- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input checked="" type="checkbox"/> Yes <input type="checkbox"/> If no please do so |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

Bond Receipts: 1704.305

- | | | |
|-------------------------------------|-------------------------------------|----------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value?</u> _____ |

Statement of: 1704.202

- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

Bond Receipts for security must state: 1704.305

- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- | | | | |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|
| <input checked="" type="checkbox"/> | In compliance | <input type="checkbox"/> | Not in compliance; Deadline to be in Compliance: _____ |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|

Comments: Unknown compliance due to office closed upon our return. Bail Bond Employee was not in that morning, called her and she stated she would be in the office after lunch. We confirmed she would be available from 1-5 that day. Upon our return both offices were closed with a note on the door stating they had closed for the day. This was unacceptable and I have notified the Insurance Surety of this issue. We will attempt to audit one more time.

2nd Attempt - Spoke to Insurance Secretary - they have no access to bail bonds, was told that Ricky was in San Antonio (note this was where he was during our first attempt) the bail bond employee Irma was not available(ill) I requested that Ricky call me. I have had no contact with Agent. I have sent this to the Insurance Surety.

Dianna M Spieker

3rd Time - Files well organized. Transitioning to new receipt system.

Dianna M Spiker
Dianna Spieker