

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Angelo Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: SO#91928 \$50,000; SO# 63214 \$7500; F-15-001J3 \$25,000[no signature]

Conducted by: Spieker/Pearce Talked to: Jay Mohler

- A license holder shall maintain: 1704.202**
- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| Yes | No | |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

- Each bail bond file must contain: 1704.202**
- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> If no please do so |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

- Bond Receipts: 1704.305**
- | | | |
|--------------------------|-------------------------------------|----------------------------------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value?</u> _____ |

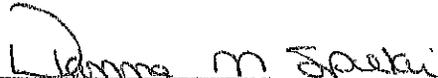
- Statement of: 1704.202**
- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

- Bond Receipts for security must state: 1704.305**
- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: Suggest you keep duplicate receipts in files.



Dianna Spieker 5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Better Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: C-14-0448-SA \$100,000; SO#92881 \$20,000; SO#92473 \$15,000

Conducted by: Spieker/Pearce Talked to: Jay Mohler

A license holder shall maintain: 1704.202

- | Yes | No | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

Each bail bond file must contain: 1704.202

- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> If no please do so |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

Bond Receipts: 1704.305

- | | | |
|--------------------------|-------------------------------------|----------------------------------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value? _____</u> |

Statement of: 1704.202

- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

Bond Receipts for security must state: 1704.305

- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- | | | | |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|
| <input checked="" type="checkbox"/> | In compliance | <input type="checkbox"/> | Not in compliance; Deadline to be in Compliance: _____ |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|

Comments: Suggest you keep duplicate receipts in files.

Dianna M Spieker
Dianna Spieker
5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Clear Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: 15-00117\$15,000; C-15-0059-SA \$10,000; 14-02409 \$5000.

Conducted by: Spieker/Pearce Talked to: Office Manager

- | Yes | No | <u>A license holder shall maintain: 1704.202</u> |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

Each bail bond file must contain: 1704.202

- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> If no please do so |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

Bond Receipts: 1704.305

- | | | |
|-------------------------------------|-------------------------------------|----------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value? _____</u> |

Statement of: 1704.202

- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

Bond Receipts for security must state: 1704.305

- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- | | | | |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|
| <input checked="" type="checkbox"/> | In compliance | <input type="checkbox"/> | Not in compliance; Deadline to be in Compliance: _____ |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|

Comments: For future reference, clearly file/denote which Bonding Company the file issued for ease of access [operates Clear Choice Bonds and Allied].

Dianna M Spieker
Dianna Spieker 5-15-14

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: LoneStar Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: SO# 92044; SO# 56509; SO#41482 - I neglected to document amounts.

Conducted by: Spieker/Pearce Talked to: Mrs. Tomerlin

Yes No

A license holder shall maintain: 1704.202

- Record of each bond executed in Tom Green County
 Record of each bail bond executed for each county in which the license holder is licensed

Each bail bond file must contain: 1704.202

- Style and number of the case and the court in which the bond is executed
Did you convert SO number to Cause number or notate Unfiled Yes If no please do so
- Name of the defendant (principal) released on the bond
 Amount of the bail set in the case
 The amount and type of security held by the license holder

Bond Receipts: 1704.305

- Duplicate receipt found in file
- Do you accept property or things of value? _____**

Statement of: 1704.202

- Whether the security held by the license holder is for the payment of the fee; or
 To assure the principal's (defendant) appearance in court, and
 The conditions under which the security will be returned

Bond Receipts for security must state: 1704.305

- Name of the person who pays the money or transfers the consideration or thing of value
 Amount of money paid or estimated amount of value transferred
 If the person transfers consideration or thing of value, a brief description must be stated
 Style and number of the case and the court in which the bond is executed
 Name of the person receiving the money, consideration, or thing of value

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: Records need case# per 1704.202; if not filed denote such on the case file.

Dianna M Spieker
Dianna Spieker

5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Concho Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: D-14-1180-SA \$15,000; C-14-0990-SB \$30,000; D-13-0999-SA \$75,000 no receipt in file.

Conducted by: Spieker/Pearce Talked to: Louie Perez

- | Yes | No | <u>A license holder shall maintain: 1704.202</u> |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

Each bail bond file must contain: 1704.202

- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> If no please do so |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

Bond Receipts: 1704.305

- | | | |
|-------------------------------------|-------------------------------------|-----------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Duplicate receipt found in file <u>w/exception noted above.</u> |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value? _____</u> |

Statement of: 1704.202

- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

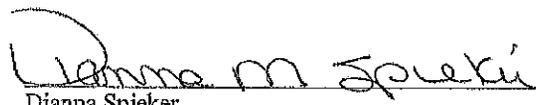
Bond Receipts for security must state: 1704.305

- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- | | | | |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|
| <input checked="" type="checkbox"/> | In compliance | <input type="checkbox"/> | Not in compliance; Deadline to be in Compliance: _____ |
|-------------------------------------|---------------|--------------------------|--------------------------------------------------------|

Comments: _____


Dianna Spieker
5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Elk Audit/Visit Date: 051415 Attempt date(s): 051415

Sample Files Pulled: None - Office Closed See Comments

Conducted by: Spieker/Palmer Talked to: _____

Yes No A license holder shall maintain: 1704.202

- Record of each bond executed in Tom Green County
 Record of each bail bond executed for each county in which the license holder is licensed

Each bail bond file must contain: 1704.202

- Style and number of the case and the court in which the bond is executed
Did you convert SO number to Cause number or notate Unfiled Yes If no please do so
- Name of the defendant (principal) released on the bond
 Amount of the bail set in the case
 The amount and type of security held by the license holder

Bond Receipts: 1704.305

- Duplicate receipt found in file
- Do you accept property or things of value?** _____

Statement of: 1704.202

- Whether the security held by the license holder is for the payment of the fee; or
 To assure the principal's (defendant) appearance in court, and
 The conditions under which the security will be returned

Bond Receipts for security must state: 1704.305

- Name of the person who pays the money or transfers the consideration or thing of value
 Amount of money paid or estimated amount of value transferred
 If the person transfers consideration or thing of value, a brief description must be stated
 Style and number of the case and the court in which the bond is executed
 Name of the person receiving the money, consideration, or thing of value

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- In compliance Unknown Not in compliance; Deadline to be in Compliance: _____

Comments: Unknown compliance. Went to office; which was closed; called phone number; no answer; left message. Returned to my office. [Brian] an employee returned my call, when I called back he stated he and his partner only work Part-time and are not present during the day. He will have to call me back to let me know when they are available. I am sending this via email to the owners.

mailing and email
5-15-15

Dianna M Spieker
Dianna Spieker
5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Elk Audit/Visit Date: 052015 Attempt date(s): 051415

Sample Files Pulled: B-09-0098-SB \$50,000; 14-02876L2 \$500; D-14-1018-SB \$15,000

Conducted by: Spieker/Palmer Spieker/Biaty Talked to: M/M Monkhouse

Yes No A license holder shall maintain: 1704.202

- Record of each bond executed in Tom Green County
 Record of each bail bond executed for each county in which the license holder is licensed

Each bail bond file must contain: 1704.202

- Style and number of the case and the court in which the bond is executed
Did you convert SO number to Cause number or notate Unfiled Yes If no please do so
 Name of the defendant (principal) released on the bond
 Amount of the bail set in the case
 The amount and type of security held by the license holder

Bond Receipts: 1704.305

- Duplicate receipt found in file

- Do you accept property or things of value?** _____

Statement of: 1704.202

- Whether the security held by the license holder is for the payment of the fee; or
 To assure the principal's (defendant) appearance in court, and
 The conditions under which the security will be returned

Bond Receipts for security must state: 1704.305

- Name of the person who pays the money or transfers the consideration or thing of value
 Amount of money paid or estimated amount of value transferred
 If the person transfers consideration or thing of value, a brief description must be stated
 Style and number of the case and the court in which the bond is executed
 Name of the person receiving the money, consideration, or thing of value

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: Unknown compliance. Went to office; which was closed; called phone number; no answer; left message. Returned to my office, [Brian] an employee returned my call, when I called back he stated he and his partner only work Part-time and are not present during the day. He will have to call me back to let me know when they are available. I am sending this via email to the owners.

2nd Attempt- Audit Complete - No findings - Records in detailed condition

Dianna M Spieker
Dianna Spieker

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: EZ Bail Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: SO# 92512 \$1,000; SO# 19602 \$5,000; Solis, Joaquin \$1,000.00.

Conducted by: Spieker/Pearce Talked to: Mrs. Tomerlin

Yes No A license holder shall maintain: 1704.202

- Record of each bond executed in Tom Green County
 Record of each bail bond executed for each county in which the license holder is licensed

Each bail bond file must contain: 1704.202

- Style and number of the case and the court in which the bond is executed
Did you convert SO number to Cause number or notate Unfiled Yes If no please do so
- Name of the defendant (principal) released on the bond
 Amount of the bail set in the case
 The amount and type of security held by the license holder

Bond Receipts: 1704.305

- Duplicate receipt found in file
- Do you accept property or things of value? _____**

Statement of: 1704.202

- Whether the security held by the license holder is for the payment of the fee; or
 To assure the principal's (defendant) appearance in court, and
 The conditions under which the security will be returned

Bond Receipts for security must state: 1704.305

- Name of the person who pays the money or transfers the consideration or thing of value
 Amount of money paid or estimated amount of value transferred
 If the person transfers consideration or thing of value, a brief description must be stated
 Style and number of the case and the court in which the bond is executed
 Name of the person receiving the money, consideration, or thing of value

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: Records need case# per 1704.202; if not filed denote such on the case file.

Dianna M Spieker
Dianna Spieker

5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Freedom Audit/Visit Date: _____ Attempt date(s): 041415

Sample Files Pulled: none- see comments

Conducted by: Spieker/Pearce Talked to: Office Secretary - Insurance side

- | Yes | No | <u>A license holder shall maintain: 1704.202</u> |
|--------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

- Each bail bond file must contain: 1704.202**
- | | | |
|--------------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input type="checkbox"/> If no please do so |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

- Bond Receipts: 1704.305**
- | | | |
|--------------------------|--------------------------|---------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Duplicate receipt found in file |
|--------------------------|--------------------------|---------------------------------|

- | | | |
|--------------------------|--------------------------|----------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <u>Do you accept property or things of value? _____</u> |
|--------------------------|--------------------------|----------------------------------------------------------------|

- Statement of: 1704.202**
- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

- Bond Receipts for security must state: 1704.305**
- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- | | | | |
|--------------------------|---------------|-----------------------------------------|--------------------------------------------------------|
| <input type="checkbox"/> | In compliance | <u>Unknown</u> <input type="checkbox"/> | Not in compliance; Deadline to be in Compliance: _____ |
|--------------------------|---------------|-----------------------------------------|--------------------------------------------------------|

Comments: Unknown compliance due to office closed upon our return. Bail Bond Employee was not in that morning, called her and she stated she would be in the office after lunch. We confirmed she would be available from 1-5 that day. Upon our return both offices were closed with a note on the door stating they had closed for the day. This was unacceptable and I have notified the Insurance Surety of this issue. We will attempt to audit one more time.

Dianna M Spieker 5-14-15
Dianna Spieker

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Freedom Audit/Visit Date: _____ Attempt date(s): 041415; 052015

Sample Files Pulled: none- see comments

Conducted by: ^{1st} Spieker/Pearce - ^{2nd} Spieker/Baity Talked to: Office Secretary - Insurance side

Yes **No** **A license holder shall maintain: 1704.202**

- Record of each bond executed in Tom Green County
 Record of each bail bond executed for each county in which the license holder is licensed

Each bail bond file must contain: 1704.202

- Style and number of the case and the court in which the bond is executed
Did you convert SO number to Cause number or notate Unfiled Yes If no please do so
 Name of the defendant (principal) released on the bond
 Amount of the bail set in the case
 The amount and type of security held by the license holder

Bond Receipts: 1704.305

- Duplicate receipt found in file
 Do you accept property or things of value? _____

Statement of: 1704.202

- Whether the security held by the license holder is for the payment of the fee; or
 To assure the principal's (defendant) appearance in court, and
 The conditions under which the security will be returned

Bond Receipts for security must state: 1704.305

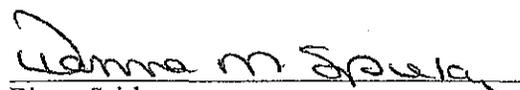
- Name of the person who pays the money or transfers the consideration or thing of value
 Amount of money paid or estimated amount of value transferred
 If the person transfers consideration or thing of value, a brief description must be stated
 Style and number of the case and the court in which the bond is executed
 Name of the person receiving the money, consideration, or thing of value

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: Unknown compliance due to office closed upon our return. Bail Bond Employee was not in that morning, called her and she stated she would be in the office after lunch. We confirmed she would be available from 1-5 that day. Upon our return both offices were closed with a note on the door stating they had closed for the day. This was unacceptable and I have notified the Insurance Surety of this issue. We will attempt to audit one more time.

2nd Attempt - Spoke to Insurance Secretary - they have no access to bail bonds, was told that Ricky was in San Antonio (note this was where he was during our first attempt) the bail bond employee Irma was not available(ill) I requested that Ricky call me. I have had no contact with Agent. I have sent this to the Insurance Surety.


Dianna Spieker

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Jenkins Audit/Visit Date: 041415 Attempt date(s): 041415

Sample Files Pulled: Documentation unavailable, it is on a yellow note pad, no running total available, receipts issued only upon request [this was an issue last audit and was corrected, however, the procedure was not maintained], unable to proceed with audit as no separate files. Was offered/requested that I get their files in the order I need to be able to audit. I declined.

Conducted by: Spieker/Pearce Talked to: Ron Jenkins

- A license holder shall maintain: 1704.202**
- | | | |
|--------------------------|-------------------------------------|-------------------------------------------------------------------------------------------|
| Yes | No | |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |
- Each bail bond file must contain: 1704.202**
- | | | |
|--------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input type="checkbox"/> If no please do so |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Amount of the bail set in the case |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The amount and type of security held by the license holder |

Bond Receipts: 1704.305

- | | | |
|--------------------------|-------------------------------------|-----------------------------------------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value? Not normally</u> |

Statement of: 1704.202

- | | | |
|--------------------------|-------------------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The conditions under which the security will be returned |

Bond Receipts for security must state: 1704.305

- | | | |
|--------------------------|-------------------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- | | | | |
|--------------------------|---------------|-------------------------------------|--------------------------------------------------------------------|
| <input type="checkbox"/> | In compliance | <input checked="" type="checkbox"/> | Not in compliance; Deadline to be in Compliance: <u>05/29/2015</u> |
|--------------------------|---------------|-------------------------------------|--------------------------------------------------------------------|

Comments: You have 10 workings days to get records in compliance with statutes [see attached], at that time a complete audit of all bonds issued for the past 2 years will be done. Mr. R. Jenkins stated his vehicle had been broken into and his bonds had been stolen [ie yellow note ledger included]. The current records do not allow for a statement of account to be created per bond.

Dianna Spieker 514-15
Dianna Spieker

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: LoneStar Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: SO# 92044; SO# 56509; SO#41482 - I neglected to document amounts.

Conducted by: Spieker/Pearce Talked to: Mrs. Tomerlin

Yes No

A license holder shall maintain: 1704.202

- Record of each bond executed in Tom Green County
 Record of each bail bond executed for each county in which the license holder is licensed

Each bail bond file must contain: 1704.202

- Style and number of the case and the court in which the bond is executed
Did you convert SO number to Cause number or notate Unfiled Yes If no please do so
 Name of the defendant (principal) released on the bond
 Amount of the bail set in the case
 The amount and type of security held by the license holder

Bond Receipts: 1704.305

- Duplicate receipt found in file
 Do you accept property or things of value? _____

Statement of: 1704.202

- Whether the security held by the license holder is for the payment of the fee; or
 To assure the principal's (defendant) appearance in court, and
 The conditions under which the security will be returned

Bond Receipts for security must state: 1704.305

- Name of the person who pays the money or transfers the consideration or thing of value
 Amount of money paid or estimated amount of value transferred
 If the person transfers consideration or thing of value, a brief description must be stated
 Style and number of the case and the court in which the bond is executed
 Name of the person receiving the money, consideration, or thing of value

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

- In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: Records need case# per 1704.202; if not filed denote such on the case file.

Dianna M Spieker
Dianna Spieker

5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Louie Perez Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: 14-02779 \$2,500; SO#92778 \$20,000; A-13-0109-SBMTR \$15,000; B-09-0432-SA MTR \$30,000 property appraisal, attorney documentation.

Conducted by: Spieker/Pearce Talked to: Louie Perez

Yes No A license holder shall maintain: 1704.202

- Record of each bond executed in Tom Green County
 Record of each bail bond executed for each county in which the license holder is licensed

Each bail bond file must contain: 1704.202

- Style and number of the case and the court in which the bond is executed
Did you convert SO number to Cause number or notate Unfiled Yes If no please do so
 Name of the defendant (principal) released on the bond
 Amount of the bail set in the case
 The amount and type of security held by the license holder

Bond Receipts: 1704.305

- Duplicate receipt found in file
 Do you accept property or things of value? Property with Documentation

Statement of: 1704.202

- Whether the security held by the license holder is for the payment of the fee; or
 To assure the principal's (defendant) appearance in court, and
 The conditions under which the security will be returned

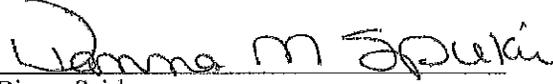
Bond Receipts for security must state: 1704.305

- Name of the person who pays the money or transfers the consideration or thing of value
 Amount of money paid or estimated amount of value transferred
 If the person transfers consideration or thing of value, a brief description must be stated
 Style and number of the case and the court in which the bond is executed
 Name of the person receiving the money, consideration, or thing of value

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: _____


Dianna Spieker
5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Zapata Audit/Visit Date: 041415 Attempt date(s): 041415

Sample Files Pulled: Office not at location per Bail Bond Board files 1911 S Bryant Ste 1

Conducted by: Spieker/Pearce Talked to: _____

A license holder shall maintain: 1704.202

- | Yes | No | |
|--------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

Each bail bond file must contain: 1704.202

- | | | |
|--------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> If no please do so |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

Bond Receipts: 1704.305

- | | | |
|--------------------------|--------------------------|----------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input type="checkbox"/> | <u>Do you accept property or things of value?</u> _____ |

Statement of: 1704.202

- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

Bond Receipts for security must state: 1704.305

- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: UNABLE TO AUDIT - MAILED THIS TO LAST KNOWN ADDRESS

Dianna M Spieker
Dianna Spieker

5-14-15

AUDIT OF BAIL BOND COMPANY RECORDS
Pursuant to Texas Occupation Code 1704.202 and 1704.305

Bail Bond Company Name: Allied Audit/Visit Date: 041415 Attempt date(s): _____

Sample Files Pulled: 13-01169 \$500; 11-01469 \$500; SO#59021 \$50,000; 14-5252L \$200,000.

Conducted by: Spieker/Pearce Talked to: Office Manager

- A license holder shall maintain: 1704.202**
- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------|
| Yes | No | |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bond executed in Tom Green County |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Record of each bail bond executed for each county in which the license holder is licensed |

- Each bail bond file must contain: 1704.202**
- | | | |
|-------------------------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| | | Did you convert SO number to Cause number or notate Unfiled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> If no please do so |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Name of the defendant (principal) released on the bond |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Amount of the bail set in the case |
| <input type="checkbox"/> | <input type="checkbox"/> | The amount and type of security held by the license holder |

- Bond Receipts: 1704.305**
- | | | |
|-------------------------------------|-------------------------------------|----------------------------------------------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Duplicate receipt found in file |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | <u>Do you accept property or things of value?</u> _____ |

- Statement of: 1704.202**
- | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Whether the security held by the license holder is for the payment of the fee; or |
| <input type="checkbox"/> | <input type="checkbox"/> | To assure the principal's (defendant) appearance in court, and |
| <input type="checkbox"/> | <input type="checkbox"/> | The conditions under which the security will be returned |

- Bond Receipts for security must state: 1704.305**
- | | | |
|--------------------------|--------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person who pays the money or transfers the consideration or thing of value |
| <input type="checkbox"/> | <input type="checkbox"/> | Amount of money paid or estimated amount of value transferred |
| <input type="checkbox"/> | <input type="checkbox"/> | If the person transfers consideration or thing of value, a brief description must be stated |
| <input type="checkbox"/> | <input type="checkbox"/> | Style and number of the case and the court in which the bond is executed |
| <input type="checkbox"/> | <input type="checkbox"/> | Name of the person receiving the money, consideration, or thing of value |

I, Dianna Spieker, Tom Green County Treasurer, appointed by the Tom Green County Bail Bond Board as its designee to perform the required audit under Texas Occ. Code Ann. 1704.202 and 1704.305, has declared the said bonding company to be:

In compliance Not in compliance; Deadline to be in Compliance: _____

Comments: Bond Sharing Agreement on File for 14-P252L dated 033115 50/50 split with Zapata Bail Bonds. For future reference, clearly file/denote which Bonding Company the file issued for ease of access [operates Clear Choice Bonds and Allied].

Dianna Spieker 5-14-15
Dianna Spieker